

No. 04-12

Type-Approval Procedure Information System of the German Type-Approval Authority

Announcement in advance of type-approval numbers

This notification replaces issue 19 – 96 (Adaptation to current administrative procedure)

Question or problem:

In order to mark products with the necessary approval marks, the tools have to be produced accordingly. The tools are in most cases produced long before the product is actually produced. These circumstances should be accounted for by the announcement in advance of type-approval numbers.

Products with type-approval numbers that are announced in advance may only be placed on the market when the type-approval has been issued. Applicants may in future apply for type-approval numbers to be announced in advance for the above-mentioned purpose. The pre-conditions are:

- The Initial Assessment of the approval holder has to have been concluded positively and still be valid.
- The application for the announcement in advance must be included in the application for the issue of type-approval (National type-approval, compulsory National type-approval for components, EC type-approval or ECE type-approval).
- In the application the legal regulation that relates to the approval, the type of equipment to be approved and the intended name under which the product is to be sold must be stated. With vender parts for OEMs, instead of the name under which the product is to be sold, the OEM must be stated.

Applications can be made in one summarised application. The application will result in the Kraft-fahrt-Bundesamt (KBA – German Federal Motor Transport Authority) charging a type-approval fee for each type-approval number applied for in advance.

If an application for the issue of a type-approval which included an announcement in advance results in a type-approval, the normal type-approval fee will be charged. No additional fee will be charged for the announcement in advance itself. If, in the same case, the application does not result in a type-approval (e.g. refusal or withdrawal of the application), a proportionate fee (at least 25 % of the type-approval fee) will be charged.

Products with type-approval numbers that are announced in advance may only be placed on the market when the type approval has been issued. If products are noticed in the market before the type-approval date, the type-approval holder concerned will immediately no longer be issued any type-approval numbers in advance until the market monitoring procedure initiated by the KBA (German Federal Motor Transport Authority) has been concluded. If there is a breach of any obligations related to the type-approval, or of the type-approval holder proves to be unreliable, further measures such as the revocation of type-approvals that have already been issued may follow.

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Unused type-approval numbers that were announced in advance will be invalid after 12 months and blocked in the KBA (German Federal Motor Transport Authority).

Flensburg, 6 March 2012 400-21.03/001 Klaus Pietsch

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