

Type-Approval Procedure

Information System of the German Type-Approval Authority

Preliminary Notification of approval numbers

This document replaces IST 04-12

Aspects or problems to be addressed:

In order to identify products with the required approval mark, the necessary tools for production must be made accordingly. The preparation of these tools usually takes place well before the production of the actual products. This situation is to be supported by a preliminary notification of approval numbers account.

The previous IST 04-12 described a limitation of prior notification to 12 months. For many applicants, this period was not sufficient.

Conclusions:

The validity period for newly announced preliminary approval numbers is 24 months. The validity period of preliminary approval numbers that were announced by state of the IST 04-12, is automatically extended from 12 to 24 months. The decisive factor is the date of notification of the decision.

Thus applicants may apply for the advanced notification of approval numbers the following prerequisites must be met:

- The initial assessment of the license holder must be positively completed or still be valid.
- The application for preliminary approval numbers must be included in the application for type approval (German General Type Approval, German General Design (Component) Approval, EU or UNECE type-approval).
- The application for preliminary approval numbers has to comprise of: legislation to be the basis of approval, the type of device to be approved and the proposed sales name (description of the approval object) must be specified. Parts intended to be supplied for OEM, the name of the OEM instead of the sales name has to be stated.

Applications can be submitted as well as collective applications. Each application for preliminary approval number sent to KBA implies the right to be charged by Federal Motor Transport Authority (KBA).

If an application for type approval which included a preliminary notification will be finalized within 24 months by a regular type approval, the normal approval fee will be charged. There is no additional charge for the preliminary announcement itself.

If an application will not be transferred into an approval or the pre-announced approval number is not used, the preliminary approval number becomes invalid after 24 months and is revoked by KBA at the end of a 4-week period. In any case, a fee of 25% of the basic approval fee applicable for this object (vehicle or component) will be charged according to GebOSt (German federal ordinance stipulating approval fees). The fee is not charged in case the applicant withdraws the application voluntarily within 24 months.

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Products with pre-announced approval numbers may be only placed in the market after the approval is granted.

If products are to be found in the market before the date of approval the applicant will not receive any preliminary advanced approval numbers till the market surveillance procedure initiated by KBA has been closed.

If there are violations of the obligations associated with the approval or the approval holder proves to be unreliable, further measures as a revocation of existing approvals may follow.

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