

No. 03-15

Type-Approval Procedure Information System of the German Type-Approval Authority

Revision of Annex XVII of Directive 2007/46/EC by Regulation (EU) No. 1171/2014 – Dealing with extensions to the approval of the base vehicle in the multi-stage process

Aspects or problems to be addressed:

The rules in terms of dealing with extensions to the approval of the base vehicle were changed with the revision of Annex XVII by Regulation (EU) No. 1171/2014.

In section 3.3 of annex XVII it is stated:

"Subject to the agreement of the approval authority, a whole vehicle type-approval granted to the manufacturer of the subsequent stage needs not to be extended or revised where an extension given at the previous stage vehicle does not affect the subsequent stage or the technical data of the vehicle. However, the type-approval number including the extension of the previous stage(s) vehicle shall be copied in point 0.2.2 of the certificate of conformity of the subsequent stage vehicle."

For this situation, the question arises how this provision shall be implemented, i.e.:

- 1.) What kind of information has the manufacturer of subsequent stage to provide to the approval authority (KBA)?
- 2.) How and by whom the information is to be delivered?
- 3.) How and what about the consent procedure?

Conclusions:

- 1.) The manufacturer of subsequent stage has to inform KBA that the previous stage approval was extended. The reasons are to be mentioned that have led to the extension of the previous stage approval.
- 2.) The information has to be provided to KBA by the manufacturer of the subsequent stage and is related to the affected approval of the previous stage. The transmission can informally be sent by e-mail to the general e-mail addresses (421@kba.de resp. 422@kba.de). The application has to include the sentence "Agreement of the approval authority according to Directive 2007/46/EC, Annex XVII, Section 3.3".
 - If a vehicle is manufactured in more than two stages, each manufacturer of a subsequent stage has to apply separately at KBA the necessary information's for his stage approval.
- 3.) If the KBA decides against the application and the approval of the subsequent stage needs to be extended or revised, the KBA will inform the applicant within 14 calendar days.

InA-.DOC/04.05.2015/AL Page 1/2



No. 03-15

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If the manufacturer of the subsequent stage does not receive a feedback by KBA within 14 days the consent is tacitly given. In this case, the information's of the manufacturer of the subsequent stage are stored at KBA as supplement to the approval folder to ensure a complete documentation in case of compliance or inquiries by third parties.

Remarks:

The reference to the new Annex XVII is only possible if in the current information package the necessary information's to the base vehicle are provided according to Regulation (EU) No. 1171/2014. Therefore, an extension of the multi-stage approval to adapt the information package may be required.

Regardless of whether the change in the previous stage approval leads to an expansion of the subsequent stage or not in terms of the Certificate of Conformity (CoC), please proceed as follows:

- The manufacturer of the previous stage shall attach a CoC to the vehicle. The extension mentioned in this CoC shall be contained in the CoC of the subsequent stage under clause 0.2.2.
- Thus, there might be a difference between the information in the multi-stage approval and the CoC.

Flensburg, April 02, 2015 400-331/002-2007/46/EG Franziska Knizek

InA-.DOC/04.05.2015/AL Page 2/2