

**Type-Approval Procedure**  
Information System of the German Type-Approval Authority

**Regulation (EU) 2017/1151**

Formal documentation according to Regulation (EU) 2023/443

**Question or problem:**

According to Annex 1, Appendix 3a of Regulation (EU) 2017/1151 as amended by Regulation (EU) 2023/443 with regard to the emission type-approval procedures for light passenger cars and commercial vehicles, formal documentation shall be prepared by the manufacturer. The formal documentation shall be made available on request to all type-approval authorities, technical services, market surveillance authorities, third parties and the Commission in accordance with Article 5 (11) of the amended Regulation.

The purpose of this letter is to set out the conditions for the provision of the formal documentation in the type-approval procedure of the Kraftfahrt-Bundesamt (KBA).

**Result:**

The formal documentation must in principle be submitted to the KBA with the application for granting for the release of the extended documentation (AES/BES release). This applies from the proof of compliance with the emission letter "EA" according to Annex I, Appendix 6 Table 1 of Regulation (EU) 2017/1151 as amended by Regulation (EU) 2023/443.

In exceptional cases agreed in advance with the KBA, the formal documentation may be kept by the manufacturer. It must be made available to enquirers and the KBA without delay in the case of enquiries regulated by the regulation.

If the emission letters "EB" and "EC" are to be verified in accordance with Annex I, Appendix 6 Table 1 of Regulation (EU) 2017/1151 as amended by Regulation (EU) 2023/443, the formal documentation must be submitted to the KBA as described above. Upon request, the KBA shall then provide the formal documentation in the cases of Article 5, paragraph 11 of the aforementioned Regulation.

If UN regulations contain comparable provisions, this determination can also be applied in this legal sphere.

400-27/001#254

Flensburg,  
400-27/001#254  
Mario Quade