

Reg. No.

No.

Date of assessment

Date

Assessor

Assessor

Process			
General			
Process owner/department			
Process description			
Process parameters			
Details (In general, all assessments only apply to aspects of the CSMS/SUMS)			
	ok	nok	na/ne/comment
Process description is sufficient and up to date/approved/controlled	<input type="checkbox"/>	<input type="checkbox"/>	
Risks have been defined, analysed and optimised	<input type="checkbox"/>	<input type="checkbox"/>	
Process is adequate, suitable and effective	<input type="checkbox"/>	<input type="checkbox"/>	
Protected against unauthorised access, ingress and tampering	<input type="checkbox"/>	<input type="checkbox"/>	
Responsibilities have been appropriately defined	<input type="checkbox"/>	<input type="checkbox"/>	
Competence/awareness (also to CS and SU)	<input type="checkbox"/>	<input type="checkbox"/>	
Predecessor/successor defined; no conflicts	<input type="checkbox"/>	<input type="checkbox"/>	
Resources and infrastructure	<input type="checkbox"/>	<input type="checkbox"/>	
Information and communication	<input type="checkbox"/>	<input type="checkbox"/>	
Requirements of UN-R/of the CSMS/SUMS sufficiently taken into account	<input type="checkbox"/>	<input type="checkbox"/>	
Sufficient testing/supervision	<input type="checkbox"/>	<input type="checkbox"/>	
Records			
- Appropriate, legible, reproducible, correct	<input type="checkbox"/>	<input type="checkbox"/>	
- Analysis, protection, storage, disposal organised	<input type="checkbox"/>	<input type="checkbox"/>	
Response plans for non-conformities/incidents exist, are adequate and consistent with the system, efficacious, effective	<input type="checkbox"/>	<input type="checkbox"/>	
Checked documents:			
Checked records:			
Comments			

na: not applicable; ne: not evaluated